#4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Tangacation

Inventors: Charles E. Taylor and Shek Fai Lau

Appl. No.: 10/074,207

Confirm. No.: 5944

Filed: February 12, 2002

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER

DEVICES WITH INTERSTITIAL ELECTRODE

PATENT APPLICATION

Art Unit:

1741

Examiner:

Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Art Unit 1741, Washington, DC29231, on May 8, 2002.

Sheldon R. Meyer, Reg. No. 27,660 Signature Date: May 8, 2002 _(Attorney Signature)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Box Missing Parts Commissioner for Patents Art Unit 1741 Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation

-1-

of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

This statement should be considered because:

ŧ

✓ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

(1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);

-- OR --

- (2) It is being filed within 3 months of entry of a national stage;
 -- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
 -- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- ✓ Fee Authorization. The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: May 8, 2002

Bv:

Sheldon R. Meyer Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP

Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156

Telephone:

(415) 362-3800

Facsimile:

(415) 362-2928

Form PTO-1449 (Substitute)

TA TRADEND DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number SHPR-01041USN Serial/Patent Number 10/074,207

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

Applicant/Patent Owner

Charles E. Taylor and Shek Fai Lau

Filing/Issue Date February 12, 2002 Group Art Unit 1741

U.S. PATENTS									
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date		
	1.	2,327,588	Aug. 24, 1943	Bennett	315	326	Jun. 1, 1940		
	2.	3,981,695	Sep. 21, 1976	Fuchs	96	77	Nov. 2, 1973		
	3.	3,984,215	Oct. 5, 1976	Zucker	95	81	Jan. 8, 1975		
	4.	4,227,894	Oct. 14, 1980	Proynoff	96	58	Oct. 10, 1978		
	5.	4,477,263	Oct. 16, 1984	Shaver et al.	95	7	Jun. 28, 1982		
	6.	4,496,375	Jan. 29, 1985	Le Vantine	96	66	Jun. 14, 1983		
	7.	4,643,745	Feb. 17, 1987	Sakakibara et al.	96	76	Dec. 17, 1984		
	8.	4,713,724	Dec. 15, 1987	Voelkel	361	231	Jun. 30, 1986		
	9.	4,789,801	Dec. 6, 1988	Lee	310	308	Apr. 3, 1987		
	10.	4,811,159	Mar. 7, 1989	Foster, Jr.	361	231	Mar. 1, 1988		
	11.	4,941,068	Jul. 10, 1990	Hofmann	361	231	Aug. 1, 1988		
	12.	5,024,685	Jun. 18, 1991	Torok et al.	96	43	Jun. 30, 1988		
	13.	5,141,529	Aug. 25, 1992	Oakley et al.	95	57	Jun. 28, 1990		
	14.	5,215,558	Jun. 1, 1993	Moon	96	62	Jun. 11, 1991		
	15.	5,316,741	May 31, 1994	Sewell et al.	422	186.21	May 30, 1991		
	16.	5,484,472	Jan. 16, 1996	Weinberg	96	26	Feb. 6, 1995		
	17.	5,535,089	Jul. 9, 1996	Ford et al.	361	231	Oct. 17, 1994		
	18.	5,601,636	Feb. 11, 1997	Glucksman	96	63	May 30, 1995		
	19.	5,656,063	Aug. 12, 1997	Hsu	95	58	Jan. 29, 1996		
	20.	5,702,507	Dec. 30, 1997	Wang	96	55	Sep. 17, 1996		
	21.	5,779,769	Jul. 14, 1998	Jiang	96	55	Oct. 24, 1995		
	22.	5,911,957	Jun. 15, 1999	Khatchatrian et al.	422	186.07	Oct. 23, 1997		

	M	HY 1 3 2002 -											
ļ	1	~ /	- -	U.S. PATENTS									
Examiner Initial			Issue Date			First Named Inventor			Class	Subclass	Filing	g Date	
	23.	5,975,090	Nov. 2, 1999		9	Taylo	r et al.		132	116	Sep. 29		
	24.	6,126,722	Oct. 3, 2000		0	Mitch	ell et al.		95	57	Jul. 28, 1998		
	25.	6,152,146	Nov.	Nov. 28, 2000 Dec. 19, 2000		Taylo	r et al.		132	116	Jun. 25	, 1999	
	26.	6,163,098	Dec.			Taylo	r et al.		310	308	Jan. 14	, 1999	
	27.	6,176,977	Jan. 2	Jan. 23, 2001		Taylo	r et al.		204	176	Nov. 5, 1998		
	28.	6,182,671	Feb.	Feb. 6, 2001		Taylo	r et al.		132	116	Oct. 8, 1999		
U.S. PATENT PUBLICATIONS													
Examiner Initial		Patent Application Publication	ication Number		Pı	ublicatio	n Date		Applicant				
								···					
PENDING U.S. PATENT APPLICATIONS													
Examiner Initial		Application Number		Filing Date First N			First N	Named Inventor Petition t Expunge Yes No					
	29.	09/197,131		Nov. 20, 1998 Taylor et al.						X			
	30.	10/023,197		Dec. 13, 2001		2001	Taylor et al.					X	
	31.	10/023,460			Dec. 13, 2		Taylor	et al.				X	
	32.	10/074,209		Feb. 12, 2002 Taylor et al.		et al.				X			
	33.	10/074,207		Feb. 12, 2002 Taylo		Taylor et al.					X		
	34.	10/074,208		Feb. 12, 2002 Tay		Taylor					X		
	35.	10/074,339		Feb.	12, 20	002	Taylor	et al.				X	
	36.	10/074,827		Feb.	12, 20	002	McKin	ney, Jr.,	et al.			X	
	37.	10/074,549		Feb.	12, 20	002	Sinaiko	et al.				X	
	38.	10/074,103		Feb.	12, 20	002	Sinaiko	et al.				X	
	39.	10/074,096				Taylor et al. Taylor et al.					X		
	40.	10/074,347									х		
	41.	10/074,379	4,379		Feb. 12, 2002 Taylor et		et al.				X		

1

Sheet 3 of 3

FOREIGN PATENT DOCUMENTS Trans-												
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- <u>lation</u> Yes No					
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)												
	42.	"Zenion Elf Device", drawing, prior art, 1 page.										
	43.	Electrical Schematic and promotional material available from Zenion Industries, 7 pages, August 1990.										
	44.	Promotional material available from Zenion Industries for the Plasma-Pure 100/200/300, 2 pages, August 1990.										
	45.	Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990.										
Examiner				Date Considered								
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												
*1 = Copy *2 = Copy	not sub	omitted because it was submitted omitted because it was submitted	in prior application in prior application	SN _/, filed, 20 SN _/, filed, 20		under 35 US under 35 US						

MAY 1 3 2002